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## Invariant subspaces of bilateral shift on $L^2(S^1)$

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### Abstract

In this Paper, we have proved that all the invariant subspaces of the bilateral shift on  $L^2(S^1)$  is of the form  $\varphi H^2$  where  $\varphi$  is a function in  $L^\infty$  which is equals to 1 almost everywhere.

**Keywords:** Measurable, essentially bounded, commutant, unitary

### 1. Introduction

**Notation**  $S^1 = \{z \in \mathbb{C} : |z| = 1\}$  i.e  $S^1$  is the circle with center origin and radius 1.

#### 1.1 Definition ( $L^2(S^1)$ )

It is defined as the space of all the equivalence classes of functions [3] that are Lebesgue measurable on  $S^1$  and square integrable on  $S^1$  with respect to Lebesgue measure normalized such that measure of  $S^1$  is 1.

$$L^2(S^1) = \{f : f \text{ is Lebesgue measurable on } \mathbb{S}^1 \text{ and } \frac{1}{2\pi} \int_0^{2\pi} |f(e^{i\theta})|^2 d\theta < \infty\}$$

Inner product on  $L^2(S^1)$  is given by -

$$\langle f, g \rangle = \frac{1}{2\pi} \int_0^{2\pi} f(e^{i\theta}) \overline{g(e^{i\theta})} d\theta$$

**Note**  $L^2(S^1)$  is an Hilbert-space with the orthonormal basis given by  $\{e_n : n \in \mathbb{Z}\}$  where  $e_n(e^{i\theta}) = e^{in\theta}$ .

**Therefore**

$$L^2(S^1) = \left\{ f : f = \sum_{n=-\infty}^{n=\infty} \langle f, e_n \rangle e_n \right\}$$

#### 1.2 Definition ( $H_c^2$ space)

$H_c^2 = \{f \in L^2(S^1) : \langle f, e_n \rangle = 0 \text{ for negative value of } n\}$   
 ( $\infty$ )

$H_c^2 = f \in L^2(S^1) : f = \sum_{n=0}^{\infty} \langle f, e_n \rangle e_n, n=0$

$\widehat{H}^2$  is a closed subspace of  $L^2(S^1)$  whose negative Fourier coefficients are 0  
 $\therefore \{e_n : n = 0, 1, \dots\}$  are orthonormal basis of  $\widehat{H}^2$

#### 1.3 Wandering Subspace

A closed  $N$  of a Hilbert-Space  $H$  is said to be a Wandering subspace of a bounded linear operator  $T$  on  $H$  if  $N$  is orthogonal to  $T^k(N)$  for all  $k \geq 0$

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**1.4 The Wolde’s Decomposition Theorem**

Let  $T$  be an isometric operator on a Hilbert-Space  $H$  then

$$H = \bigcap_{k=0}^{\infty} T^k(H) \oplus N \oplus T(N) \oplus \dots$$

Where

$$H = T(H) \oplus N$$

**1.4 Definition ( $L^\infty$ )**

It is defined as the Collection of all the essentially bounded measurable functions on the circle  $S^1$ .

For a function  $\phi \in L^\infty$  the essential norm is defined by

$$\|\phi\|_\infty = \inf\{r : m\{e^{i\theta} : |\phi(e^{i\theta})| > r\} = 0\}$$

where  $m$  is normalized lebesgue measure.

**Note**

$$|\phi(e^{i\theta})| \leq \|\phi\|_\infty \text{ a.e}$$

**1.6 Multiplication Operator by a  $L^\infty$  function**

For a function  $\phi \in L^\infty$  the multiplication operator on  $L^2(S^1)$  is defined by  $S_\phi : L^2(S^1) \rightarrow L^2(S^1)$

$$f \rightarrow \phi f$$

**Note**

$$\int_0^{2\pi} |\phi(e^{i\theta})|^2 |f(e^{i\theta})|^2 d\theta \leq \|f\|^2 \|\phi\|_\infty^2 < \infty \text{ since } (|\phi(e^{i\theta})| \leq \|\phi\|_\infty \text{ a.e})$$

$$\Rightarrow \phi f \in L^2(S^1)$$

$\therefore S_\phi$  is well defined

**1.7 Bilateral Shift**

Bilateral shift on  $L^2(S^1)$  is an operator  $B : L^2(S^1) \rightarrow L^2(S^1)$  defined by

$$Bf(e^{i\theta}) = e^{i\theta} f(e^{i\theta})$$

**Note B is an isometric Unitary Operator [2] whose adjoint is the bounded linear operator  $B^* : L^2 \rightarrow L^2$  given by**

$$B^* f(e^{i\theta}) = e^{-i\theta} f(e^{i\theta})$$

$$\text{i.e. } B^* B = I = B B^*$$

**1.8 Invariant Subspace**

A Closed subspace  $N$  of a Hilbert-Space  $H$  is said to be an Invariant Subspace of a Bounded linear operator  $T$  on  $H$  if

$$T(N) \subseteq N$$

**Theorem 1.1.**  $N$  is an invariant subspace of  $T$  iff  $N^\perp$  is an invariant subspace of  $T^*$

*Proof.* Let  $N$  is an invariant subspace of  $T$

$$\Rightarrow T(N) \subseteq N$$

Let  $y \in T^*(N^\perp)$  be arbitrary then  $\exists x \in N^\perp$  such that

$$y = T^*(x)$$

$$\Rightarrow \langle y, n \rangle = \langle T^*(x), n \rangle = \langle x, T(n) \rangle = 0 \quad \forall n \in N \quad (\because T(N) \subseteq N)$$

$$\Rightarrow y \in N^\perp \Rightarrow T^*(N^\perp) \subseteq N^\perp$$

$\therefore N^\perp$  is an invariant subspace of  $T^*$

Conversely

Let  $N^\perp$  is an invariant subspace of  $T^*$

$$\Rightarrow T^*(N^\perp) \subseteq N^\perp$$

Let  $y \in T(N)$  be arbitrary then  $\exists x \in N$  such that  
 $\Rightarrow y = T(x)$   
 $\Rightarrow \langle y, n \rangle = \langle T(x), n \rangle = \langle x, T^*(n) \rangle = 0 \quad \forall n \in N^\perp \quad (\because T^*(N^\perp) \subseteq N^\perp)$   
 $y \in N \Rightarrow T(N) \subseteq N$   
 $\therefore N$  is an invariant subspace of  $T$

**1.9 Reducing Subspace**

An invariant Subspace  $N$  of a bounded linear operator  $T$  on a Hilbert Space  $H$  if

$$T(N) \subseteq N \text{ and } T(N^\perp) \subseteq N^\perp$$

$$i.e. T(N) \subseteq N \text{ and } T^*(N) \subseteq N$$

**Theorem 1.2.** If  $N$  is a reducing subspace of a unitary operator  $T$  on a hilbert space  $H$  then  $T(N) = N$

*Proof.* Since  $N$  is a reducing subspace of  $T$

$$\Rightarrow T(N) \subseteq N \text{ and } T^*(N) \subseteq N^{[1]}$$

Since  $T$  is a Unitary Operator

$$\Rightarrow TT^* = I = T^*T$$

Then

$$N = TT^*(N) \subseteq T(N) \quad (\because T^*(N) \subseteq N)$$

$$\Rightarrow T(N) = N$$

Result (?) If  $E$  is a non zero Reducing Subspace of bilateral shift  $B$  on  $L^2(S^1)$  then  $\exists$  a subset  $E$  of  $S^1$  of positive measure such that

$$E = X_E L^2(S^1)$$

**2. Invariant subspace of bilateral shift on  $L^2(S^1)$**

**Theorem 2.1.** Let  $N$  be an invariant(not reducing) subspace of bilateral shift  $B$  on  $L^2(S^1)$  then there exist a function  $\phi$  in  $L^\infty$  such that  $N = \phi H_c^2$  with essential norm 1.

*Proof.* Since  $N$  is an Invariant but not reducing subspace of bilateral shift  $B : L^2(S^1) \rightarrow L^2(S^1)$

$$\Rightarrow B(N) \subset N \quad (\because \text{Theorem 1.2})$$

Since  $B$  is an Isometry and  $N$  is a closed subspace of  $L^2(S^1)$ . Then by Wolde’s Decomposition Theorem (1.3) we have

$$N = \bigcup_{n=0}^{\infty} B^n(N) \oplus \bigcap_{n=0}^{\infty} B^n(N) \oplus \bigcap_{n=0}^{\infty} B^{n+1}(N) \oplus \dots$$

Where  $N = K^\perp B(N)$  ( $\because$  since  $B$  is an isometry then  $B(N)$  is a closed ) Since  $K \neq \{0\}$  then we can find  $\phi \in N$  such that  $\|\phi\|_{L^2} = 1$

**1. Claim :  $\phi$  is a function in  $L^\infty$  with essential norm 1**

Since  $\phi \in K \subset B(N)^\perp$

$$\Rightarrow \phi \perp B^k(\phi) \text{ for all } k \in \mathbb{N} \quad (\because B^k(N) \subseteq B(N))$$

$$\Rightarrow \langle \phi, B^k(\phi) \rangle = 0 \quad \forall k \in \mathbb{N}$$

$$\Rightarrow \frac{1}{2\pi} \int_0^{2\pi} |\phi(e^{i\theta})|^2 e^{ki\theta} d\theta = 0 \quad \forall k \in \mathbb{N} \tag{1}$$

Taking conjugate of (1) we get

$$\Rightarrow \frac{1}{2\pi} \int_0^{2\pi} |\phi(e^{i\theta})|^2 e^{-ki\theta} d\theta = 0 \quad \forall k \in \mathbb{N} \tag{2}$$

Since  $\phi \in L^2 \Rightarrow |\phi|^2 \in L^1(S^1)$  Then from(1) and (2) we have

$$\langle |\phi|^2, e_k \rangle = 0 \quad \forall k = \pm 1, \pm 2, \dots$$

And

$$\|\phi\|_{L^2} = 1 \Rightarrow \frac{1}{2\pi} \int_0^{2\pi} |\phi(e^{i\theta})|^2 d\theta = 1 \Rightarrow \langle |\phi|^2, e_0 \rangle = 1$$

$$\Rightarrow |\phi(e^{i\theta})| = 1 \text{ a.e.}$$

∴  $\phi$  is a function in  $L^\infty$  with essential norm 1.

**2. Claim:**  $K = \text{span}(\phi) = \langle \phi \rangle$

Suppose not then  $\exists$  a function  $\psi \in K$  such that  $\psi \perp \phi$  and  $\|\psi\|_{L^2} = 1$   
 Then same as claim 1 we can also show that  $\psi$  is a function in  $L^\infty$  with essential norm 1 Since

$$\phi \perp \psi \Rightarrow \phi \perp B^k(\psi) \text{ and } B^k(\phi) \perp \psi \forall k \in \mathbb{N} \quad (\because B^k(N) \subset B(N))$$

$$\Rightarrow \frac{1}{2\pi} \int_0^{2\pi} \phi(e^{i\theta}) \overline{\psi(e^{i\theta})} e^{-ki\theta} d\theta = 0 \quad \forall k = 0, \pm 1, \pm 2, \dots$$

$$\Rightarrow \phi\psi = 0$$

which is a contradiction since  $|\phi(e^{i\theta})| = 1 = |\psi(e^{i\theta})| \text{ a.e.}$

∴  $K = \text{span}(\phi) = \langle \phi \rangle$

Then we have

$$N = \bigcap_{n=0}^{\infty} B^k(N) \oplus \langle \phi \rangle \oplus B(\langle \phi \rangle) \oplus B^2(\langle \phi \rangle) \oplus \dots$$

**3. Claim:**  $\langle \phi \rangle \oplus B(\langle \phi \rangle) \oplus B^2(\langle \phi \rangle) \oplus \dots = \widehat{\phi H^2}$

Let let  $f \in L.H.S$  then

$$f = \alpha_1\phi + \alpha_2\phi e_1 + \alpha_3\phi e_2 + \dots \in \phi H_c^2 \quad (\because \text{By Definition 1.2})$$

Conversely, let  $f \in \phi H_c^2$

$\infty$

$$\Rightarrow f = \sum_{n=0}^{\infty} \langle f, e_n \rangle \phi e_n$$

$n=0$

which clearly lies in the L.H.S. Hence the claim Then

$\infty$

$$N = \bigcup_{n=0}^{\infty} B^n(N) \in \phi H_c^2$$

$n=0$

**4. Claim:**  $N = \widehat{\phi H^2}$

Clearly

$\infty$

$\setminus k$

$B(N)$

$n=0$

is a reducing subspace of bilateral shift  $B$ . Then by the Result (?)  $\exists$  an subset  $E$  of  $S^1$  such that

$$\bigcap_{n=0}^{\infty} B^n(N) = X_E L^2$$

$$\Rightarrow N = X_E L^2 \oplus \widehat{\phi H^2}$$

$$\begin{aligned} \Rightarrow X_E \phi \perp \phi &\Rightarrow \frac{1}{2\pi} \int_0^{2\pi} X_E(e^{i\theta}) |\phi(e^{i\theta})|^2 d\theta = 0 \\ &\Rightarrow \frac{1}{2\pi} \int_E |\phi(e^{i\theta})|^2 d\theta = 0 \end{aligned}$$

$\Rightarrow |\phi|$  is zero on E

But

$$|\phi| = 1 \Rightarrow m(E) = 0 \text{ a.e.}$$

Hence

$$N = \widehat{\phi H^2}$$

where  $\phi$  is a function in  $L^\infty$  of essential norm 1.

### 3. Conclusion

We proved the results that help to identify all the invariant subspaces of Bilateral shift on  $L^2$  which is of the form  $\phi H_b^2$  where  $\phi$  is a function in  $L^\infty$  which is equals to 1 almost everywhere which results to make the study of these invariant subspaces much easier.

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